

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Agarwal et al.**

Examiner: **Frantzy Poinvil**

Application No: **10/026,358**

Group Art Unit: **3692**

Filed: **December 24, 2001**

Confirmation No.: **8071**

For: **METHOD AND SYSTEM FOR COMPUTER-IMPLEMENTED TRADING  
OF NEW ISSUE DEBT SECURITIES**

MS: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Dear Sir:

In response to the Office Action mailed January 2, 2008 (hereinafter, “the Office Action”), Applicant submits this Response.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 11 of this paper.

**Conclusion** begins on page 19 of this paper.

**Authorization** begins on page 21 of this paper.